

MAT3

**MATHEMATICAL TRIPOS**      **Part III**

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Friday, 2 June, 2023 9:00 am to 12:00 pm

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**PAPER 154**

**INTRODUCTION TO NON-LINEAR ANALYSIS**

**Before you begin please read these instructions carefully**

Candidates have **THREE HOURS** to complete the written examination.

Attempt **BOTH** questions.  
There are **TWO** questions in total.  
The questions carry equal weight.

**STATIONERY REQUIREMENTS**

Cover sheet  
Treasury tag  
Script paper  
Rough paper

**SPECIAL REQUIREMENTS**

None

<p><b>You may not start to read the questions printed on the subsequent pages until instructed to do so by the Invigilator.</b></p>
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### 1 Scattering bubbles for $L^2$ critical defocusing (NLS)

In this question we work in  $\mathbb{R}^2$  with complex valued functions. We define  $\Sigma = H^1 \cap \{xu \in L^2\}$  equipped with the norm

$$\|v\|_{\Sigma}^2 = \int_{\mathbb{R}^2} (|\nabla v|^2 + |x|^2 v^2) dx.$$

We fix once and for all a constant  $w > 2$  and define

$$J(u) = \frac{1}{2} \|v\|_{\Sigma}^2 - \frac{w}{2} \int_{\mathbb{R}^2} |v|^2 + \frac{1}{4} \int_{\mathbb{R}^2} |v|^4.$$

We recall that the  $L^2$  critical defocusing (NLS) in  $\mathbb{R}^2$  is

$$i\partial_t u + \Delta u - u|u|^2 = 0.$$

1) Let  $\psi(x) = e^{-\frac{|x|^2}{2}}$ , compute  $(-\Delta + |x|^2)\psi$ .

2) Show that

$$\forall \varepsilon > 0, \exists C_{\varepsilon} \text{ such that } \|v\|_{L^2}^2 \leq \varepsilon \|v\|_{\Sigma}^2 + C_{\varepsilon} \|v\|_{L^4}^2$$

and conclude that

$$J \equiv \inf_{u \in \Sigma} J(u) > -\infty.$$

3) Show that  $J < 0$ .

4) Show that  $J$  is attained, and that there exists a non trivial minimizer  $v$  with  $v \geq 0$ . Derive the equation satisfied by  $v$ .

5) Let  $v \geq 0$  be the above minimizer. Let  $y = \frac{x}{\lambda(t)}$ . Show that

$$u(t, x) = \frac{1}{\lambda(t)} \left( v(y) e^{-i\frac{b(t)|y|^2}{4}} \right) e^{i\gamma(t)}$$

solves the  $L^2$  critical defocusing (NLS) equation as long as the parameters satisfy the dynamical system

$$\begin{cases} \frac{ds}{dt} = \frac{1}{\lambda^2}, & \frac{db}{ds} + b^2 = -4, & b = -\frac{1}{\lambda} \frac{d\lambda}{ds}, & \frac{d\gamma}{ds} = -w \end{cases} \quad (0.1)$$

6) Solve (0.1) with data  $\lambda(t=0) = 1$ ,  $b(t=0) = 0$ ,  $\gamma(t=0) = 0$ . (Hint: compute  $\frac{d}{ds} \left( \frac{\sqrt{b^2+4}}{\lambda} \right)$ ).

7) Let  $S(t)$  be the free Schrödinger semi group. Show using Strichartz that the sequence of functions

$$t \mapsto \int_{-1}^t S(-s) (u(s, y) |u(s, y)|^2) ds$$

has a strong  $L^2$  limit as  $t \rightarrow +\infty$  and conclude that there exists  $u_{\infty} \in L^2$  such that

$$u - S(t)u_{\infty} \rightarrow 0 \text{ in } L^2 \text{ as } t \rightarrow +\infty.$$

## 2 Morawetz estimates for the defocusing wave equation

In this question, we work in  $\mathbb{R}^3$  with real valued radially symmetric functions. We pick an odd integer  $p > 1$  and let  $u(t, r)$  solve the defocusing wave equation

$$\partial_{tt}u - \Delta u + u|u|^{p-1} = 0, \quad x \in \mathbb{R}^3.$$

We assume that the solution is global in time,  $C^\infty$  smooth in both  $(t, x)$  with the global Sobolev regularity  $(u(t, \cdot), \partial_t u(t, \cdot)) \in \dot{H}^1 \times L^2$ . In all questions, integration by parts in space can be performed without boundary terms, no need for any justification.

- 1) Show that there exists a universal constant  $C > 0$  such that

$$\forall u \in H^1, \quad \int_{\mathbb{R}^3} \frac{u^2}{|x|^2} dx \leq C \int_{\mathbb{R}^3} |\nabla u|^2.$$

- 2) Show that the total energy

$$E = \int_{\mathbb{R}^3} \left[ \frac{(\partial_t u)^2}{2} + |\nabla u|^2 + \frac{|u|^{p+1}}{p+1} \right] dx$$

is invariant in time.

- 3) Let a smooth  $\psi(r)$ , show the Morawetz identity

$$-\frac{d}{dt} \left\{ \int_{\mathbb{R}^3} \partial_t u \left[ \frac{\Delta \psi}{2} u + \nabla \psi \cdot \nabla u \right] dx \right\} = \int_{\mathbb{R}^3} \left[ \psi'' |\nabla u|^2 dx - \frac{1}{4} (\Delta^2 \psi) u^2 + \frac{p-1}{2(p+1)} (\Delta \psi) |u|^{p+1} \right] dx.$$

- 4) Let  $\psi'(r) = r$ . What is  $-\Delta^2 \psi$  in  $\mathcal{D}'(\mathbb{R}^3)$ ? Conclude that this choice of  $\psi$  implies

$$\int_0^{+\infty} \int_{\mathbb{R}^3} \frac{|u|^{p+1}}{|x|} dx dt \leq CE(u_0). \quad (0.1)$$

Can this equation admit finite energy stationary solutions?

- 5) Let  $w = ru$ , compute the equation satisfied by  $w$ .

- 6) Let a smooth  $\psi(r)$  and define

$$J_\psi(t) = \int_{r>0} \psi \left[ \frac{1}{2} (\partial_t w + \partial_r w)^2 + \frac{1}{p+1} \frac{|w|^{p+1}}{r^{p-1}} \right] dr$$

compute  $\frac{dJ_\psi}{dt}$ .

- 7) Conclude that the modified Morawetz holds

$$\begin{aligned} & \frac{d}{dt} \left\{ \int_{\mathbb{R}^3} \psi \left[ \frac{1}{2} \left( \partial_t u + \partial_r u + \frac{u}{r} \right)^2 + \frac{1}{p+1} |u|^{p+1} \right] dx \right\} \\ &= -\frac{1}{2} \int \int_{\mathbb{R}^3} \psi' \left[ \partial_t u + \partial_r u + \frac{u}{r} \right]^2 dx + \frac{1}{p+1} \int_{\mathbb{R}^3} |u|^{p+1} \left[ \psi' - (p-1) \frac{\psi}{r} \right] dx \end{aligned}$$

and give another proof of (0.1) using a suitable  $\psi(r)$ .

**END OF PAPER**