

MATHEMATICAL TRIPOS      Part III

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Thursday, 10 June, 2021    12:00 pm to 3:00 pm

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PAPER 155

METRIC EMBEDDINGS

*Before you begin please read these instructions carefully*

*Candidates have THREE HOURS to complete the written examination.*

*Attempt no more than **THREE** questions.*

*There are **FOUR** questions in total.*

*The questions carry equal weight.*

**STATIONERY REQUIREMENTS**

*Cover sheet*

*Treasury tag*

*Script paper*

*Rough paper*

**SPECIAL REQUIREMENTS**

*None*

<p><b>You may not start to read the questions printed on the subsequent pages until instructed to do so by the Invigilator.</b></p>
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1

Define the notions of *coarse embedding* and *uniform coarse embedding*.

Let  $H_n$  denote the Hamming cube of dimension  $n$ . Show that  $\{H_n : n \in \mathbb{N}\}$  uniformly coarsely embeds into  $L_1$ . Does  $\{H_n : n \in \mathbb{N}\}$  uniformly coarsely embed into  $L_2$ ? Justify your answer.

Define the *expanding constant* of a (finite) graph. What is a *family of expanders*?

Let  $G = (V, E)$  be a graph on  $n$  vertices with expanding constant  $h = h(G) > 0$ . Show that for a function  $f: V \rightarrow \mathbb{R}$  with median  $M$ , the following inequality holds:

$$\sum_{x,y \in V} a_{x,y} |f(x) - f(y)| \geq 2h \sum_{x \in V} |f(x) - M|$$

where  $A = (a_{x,y})_{x,y \in V}$  is the adjacency matrix of  $G$ . Deduce that

$$\sum_{x,y \in V} a_{x,y} |f(x) - f(y)| \geq \frac{h}{n} \sum_{x,y \in V} |f(x) - f(y)|$$

and hence obtain a Poincaré inequality for  $L_1$ -valued functions on  $V$ . Deduce the following lower bound of the  $L_1$ -distortion of  $G$  if  $G$  is  $d$ -regular for some  $d \geq 3$ :

$$c_1(G) \geq \frac{h}{2d \log d} \log \left( \frac{n}{2} - 1 \right)$$

Prove that a family of expanders does not uniformly coarsely embed into  $L_1$ . Does the same hold if we replace  $L_1$  with  $L_2$ ? Justify your answer.

[Throughout this question you may use lower bounds on distortion in terms of Poincaré ratios.]

## 2

Let  $X$  and  $Y$  be Banach spaces. What does it mean to say that  $X$  is *finitely representable* in  $Y$ ? What does it mean to say that  $X$  is *superreflexive*?

Prove that a Banach space  $X$  is reflexive if and only if for all  $\theta > 0$ , for all sequences  $(x_i)$  in the closed unit ball  $B_X$ , there exist  $n \in \mathbb{N}$ ,  $y \in \text{conv}\{x_i : 1 \leq i \leq n\}$  and  $z \in \text{conv}\{x_i : i > n\}$  such that  $\|y - z\| < \theta$ . [You may use the Principle of Local Reflexivity without proof.]

Prove that a Banach space  $X$  is superreflexive if and only if for all  $\theta > 0$ , there exists  $N \in \mathbb{N}$  such that every sequence  $(x_i)_{i=1}^N$  in  $B_X$  has the following property: there exist  $n \in \mathbb{N}$  with  $1 \leq n < N$ ,  $y \in \text{conv}\{x_i : 1 \leq i \leq n\}$  and  $z \in \text{conv}\{x_i : n+1 \leq i \leq N\}$  such that  $\|y - z\| < \theta$ . [You may assume without proof that any ultrapower of a Banach space  $Z$  is finitely representable in  $Z$ .]

State a purely metric condition on a Banach space that is equivalent to superreflexivity. Show that the condition is sufficient. [You may assume any other characterization of superreflexivity from the course as well as results about embeddings of diamond graphs into  $\mathbb{R}^n$  with standard norms.]

## 3

State Bourgain's Embedding Theorem.

Prove that there is a constant  $C > 0$  such that for all  $q, n \in \mathbb{N}$ ,  $q \geq 2$ , every  $n$ -point metric space embeds into  $\ell_\infty^k$  with distortion at most  $\alpha = 2q - 1$  for some  $k \leq Cqn^{1/q} \log n$ . Deduce that  $c_2(n) = O(\log^2 n)$ .

Show that there is a constant  $c > 0$  such that if  $G$  is a  $d$ -regular graph on  $n$  vertices with expanding constant  $h$  that embeds into  $\ell_\infty^k$  with distortion at most  $\alpha$ , then  $k \geq n^{c/\alpha}$ , where  $d \geq 3$  and  $c$  depends only on  $d$  and  $h$ . [You may assume that for such a graph  $G$ , the  $L_p$ -distortion  $c_p(G) \geq C \frac{1}{p} \log n$  for all  $1 < p < \infty$ , where  $C$  is a constant depending only on  $d$  and  $h$ .]

4

State the Johnson–Lindenstrauss lemma.

Let  $E, X$  be normed spaces with  $n = \dim E < \infty$  and let  $\delta \in (0, 1/3)$ . Show that the unit sphere  $S_E$  of  $E$  has a  $\delta$ -net  $S \subset S_E$  of size  $|S| \leq \left(\frac{3}{\delta}\right)^n$ . Show that if  $T: E \rightarrow X$  is a linear map such that  $1 - \delta \leq \|Tx\| \leq 1 + \delta$  for all  $x \in S$ , then  $T$  is injective with  $\|T\| \|T^{-1}\| \leq \frac{1+\delta}{1-3\delta}$ .

Let  $Y$  be a random variable with the standard normal distribution. (Thus,  $Y \sim N(0, 1)$  has pdf  $\frac{1}{\sqrt{2\pi}}e^{-y^2/2}$ .) It is known that for some constant  $C > 0$  the following inequalities hold:

$$\mathbb{E}e^{u(|Y|-\beta)} \leq e^{Cu^2} \quad \text{and} \quad \mathbb{E}e^{-u(|Y|-\beta)} \leq e^{Cu^2}$$

for all  $u \geq 0$  where  $\beta = \sqrt{\frac{2}{\pi}}$ . Prove the first of these inequalities. [Hint: Note that  $\frac{1}{\sqrt{2\pi}} \int_0^\infty e^{-y^2/2} dy = \frac{1}{2}$  and  $1 + x \leq e^x$  for all  $x \in \mathbb{R}$ .]

Let  $n, k \in \mathbb{N}$  and  $\delta \in (0, 1)$ . Let  $T: \ell_2^n \rightarrow \ell_1^k$  be the random linear map given by

$$(Tx)_i = \frac{1}{\beta k} \sum_{j=1}^n Z_{i,j} x_j \quad \text{for } x = (x_j)_{j=1}^n \in \ell_2^n \text{ and } 1 \leq i \leq k$$

where the  $Z_{i,j}$ ,  $1 \leq i \leq k$  and  $1 \leq j \leq n$ , are independent standard normal distributions. Show that for every  $x \in \mathbb{R}^n$  and  $\delta \in (0, 1)$  we have

$$\mathbb{P}\left[(1 - \delta)\|x\|_2 \leq \|Tx\|_1 \leq (1 + \delta)\|x\|_2\right] \geq 1 - 2e^{-c\delta^2 k}$$

where  $c > 0$  is an absolute constant independent of  $k, n, \delta$ .

Show that for every  $\varepsilon > 0$  there is a constant  $C_\varepsilon > 0$  such that whenever  $k \geq C_\varepsilon n$ , there is a linear embedding  $T: \ell_2^n \rightarrow \ell_1^k$  of distortion  $\|T\| \|T^{-1}\| < 1 + \varepsilon$ .

Show that there is a constant  $C > 0$  such that every  $n$ -point metric space embeds into  $\ell_1^k$  with distortion at most  $C \log n$ , where  $k \leq C \log n$ .

**END OF PAPER**